

## **Review of Literature**

Probiotics establish symbiotically within the host digestive tract. They can increase the growth and vigour of the host species by successful physiological and nutritional modulation. In aquaculture, probiotics have become important biological entity for pursuing increased rate of production. The knowledge on aquaculture probiotics are flourishing and the series of important findings regarding the current research are being reviewed.

## **Aquaculture probiotics**

Aquaculture probiotics must be able to colonize the gastrointestinal tract of aquatic species which is constantly been affected by the flow of water passing through the digestive tract (Gatesoupe 1999). Generally, commercial aquaculture probiotics are available both in dietary and liquid forms. Fuller (1989) emphasized on the dietary application of probiotics to the host in order to improve the gastrointestinal microbiota. The dietary probiotics (Table 1) usually consist of spore-forming microorganisms. They can be directly incorporated into the basal feed with the help of a binder. The basal feed often possesses vitamins and other nutritional additives (Bandyopadhyay and Das Mohapatra 2009).

Alternatively, probiotics and the other ingredients can be mixed with sterile water for brewing at 27-32 °C for 16 to 18 h with continuous agitation (Sahu et al. 2008). Moriarity (1998) insisted the requirement of liquid probiotics in aquaculture beside the traditional feed probiotic (Gatesoupe 1999). The commercial liquid probiotics (Table 2) are applied directly to the culture water in the morning and evening avoiding sunlight due to their hygroscopic nature (Sahu et al. 2008). The immersion method of treating fish culture in a probiotic-rich container for a certain period of time on a regular basis to enhance the

host immunity is also gaining increased attention (Feliatra et al. 2018). Probiotics have been found to play a significant role in the sustainable development of aquaculture through different approaches (Table 3).

**Table 1:** Examples of feed probiotics used in aquaculture.

Probiotics	Host species	Effect on host	References
Bacillus toyoi	Japanese eel	Enhanced growth rate; reduced mortality against pathogenic <i>Edwardsiella</i> sp.	Kozasa 1986
Bacillus IP58 32	Rotifers	Enriched nutritional content	Gatesoupe 1993
Bacillus sp. S11	Penaeus monodon (Asian tiger shrimp)	Improved growth performance; enhanced feed efficiency	Rengpipat et al. 1998
Carnobacterium sp.	Salmo salar (Atlantic salmon)	Reduced disease outbreak by inhibiting <i>Aeromonas salmonicida</i> , <i>Vibrio ordalii</i> and <i>Yersinia ruckeri</i> .	Robertson et al. 2000
Aeromonas hydrophila, Vibrio fluvialis, Carnobacterium sp.	Oncorhynchus mykiss (Rainbow trout)	Enhanced growth rate; augment feed efficiency	Irianto and Austin 2002
Debaryomyces hansenii, Saccharomyces cerevisiae	Dicentrarchus labrax (European sea bass)	Improved growth performance; enhanced feed efficiency	Tovar et al. 2002
Streptococcus faecium, Lactobacillus acidophilus, S. cerevisiae	Oreochromis niloticus (Nile tilapia)	Stimulated growth and nutrient digestibility; reduced feed conversion ratio	Lara-Flores et al. 2003
Lactobacillus rhamnosus	Oncorhynchus mykiss (Rainbow trout)	Stimulated immune responses	Nikoskelainen et al. 2003
Bacillus circulans	Labeo rohita (Rohu)	Enhanced growth performance; increased feed utilization efficiency	Ghosh et al. 2004
Lactobacillus rhamnosus	Oncorhynchus mykiss (Rainbow trout)	Enhanced immune response	Panigrahi et al. 2005
Lactococcus lactis , Lactobacillus sakei, Leuconostoc mesenteroides	Oncorhynchus mykiss (Rainbow trout)	Stimulated nonspecific immune response; enhanced phagocytosis	Balcazar et al. 2006
Carnobacterium, maltaromaticum, Carnobacterium divergens	Oncorhynchus mykiss (Rainbow trout)	Stimulated humoral and cell-mediated immune responses	Kim and Austin 2006
Carnobacterium divergens	Atlantic salmon (Salmo salar L.)	Showed antagonism against pathogen	Ringo et al. 2007
Bacillus subtilis	Oncorhynchus mykiss	Inhibited Aeromonas infection	Newaj-Fyzul et al. 2007
B. subtilis and L. acidophilus	Oreochromis niloticus (Nile tilapia)	Stimulated immune system; developed the health status; increased the survival rate and body-weight gain	Aly et al. 2008

Micrococcus luteus,	Oreochromis niloticus	Enhanced growth performance,	Abd el-Rhman et
Pseudomonas sp.	(Nile tilapia)	survival rate and feed utilization	al. 2009
		efficiency; reduced mortality against	
		Aeromonas hydrophila	
Bacillus pumilus and	Epinephelus coioides	Increased growth performance;	Sun et al. 2010
Bacillus clausii	(Grouper)	enhanced immune responses	
Bacillus sp.	Ictalurus punctatus (Channel catfish)	Inhibited enteric septicaemia of catfish (ESC)	Ran et al. 2012
B. subtilis	Macrobrachium	Enhanced growth and survival rate	Keysami and
	rosenbergii (Prawn)	against pathogenic Aeromonas	Mohammadpour
		hydrophila	2013
B. pumilus	Labeo rohita	Enhanced the haematological profile	Rajikkannu et al. 2015
S. cerevisiae, B. subtilis	Ctenopharyngodon	Improved the growth performance	Toutou et al.
and B. cereus	idella (Grass Carp)	and healthy status of fish	2016
Bacillus stratosphericus, Phaeobacter	Haliotis diversicolor (small abalone)	Increased health and nutrient status	Zhao et al. 2018
daeponensis			
Bacillus velezensis	Ictalurus punctatus	Promoted catfish growth and improved pond water quality	Thurlow et al. 2018
Bacillus licheniformis,	Litopenamei vannamei	Enhanced growth, survivability,	Cai et al. 2019
B. flexus		enzymatic activity and immune	
		response	

**Table 2:** Examples of water probiotics used in aquaculture.

Probiotic	Host	Effect on host	References
Lactic acid bacteria	Scophthalmus maximus (Turbot larva)	Decreased mortality against vibriosis	Gatesoupe 1994
Bacillus sp.	Channel catfish	Enhanced production rate; increased survival ratio	Queiroz and Boyd (1998)
Pseudomonas	Oncorhynchus mykiss	Improved survival rate against	Gram et al. 1999
fluorescens AH2	(Rainbow trout)	pathogenic Vibrio anguillarum	
Bacillus sp.	Penaeus monodon (Asian tiger shrimp)	Enhanced growth and survival rate, maintained water quality	Dalmin et al. 2001
Roseobacter sp.	Scophthalmus maximus (Turbot larvae)	Decreased mortality	Hjelm et al. 2004
Bacillus sp., S. cerevisiae,	Penaeus vannamei (White shrimp)	Reduced concentrations of nitrogenous components. Enhanced	Wang et al. 2005
Nitrosomonas sp. Lactobacillus acidophilus and Saccharomyces cerevisiae	Cyprinus carpio	productivity Enhanced growth rate, survivability; improved feed conversion ratio	Ramakrishnan et al. 2008
Lactobacillus	Ctenopharyngodon idella	Increased survivability; enhanced nutrient digestion	Sheeja et al. 2003
Bacillus sp., Lactobacillus	Litopenaeus vannamei (Pacific white shrimp)	Improved the pond ecosystem	Paiva-Maia et al. 2013
Bacillus pumilus,	Penaeus monodon	Decreased total ammonia nitrogen	Devaraja et al.
B. licheniformis, B. subtilis	(Asian tiger shrimp)	(TAN); enhanced growth and survivability	2013
Ettlia sp.	Danio rerio	Improved water quality through reduction of nitrogenous compounds	Chun et al. 2018
L. acidophilus, L. plantarum, L. rhamnosus	Hippocampus erectus (seahorse)	Prevented enteritis	Lin et al. 2019

**Table 3:** Mode of action of aquaculture probiotics.

Mode of action	References
Production of inhibitory substances to the pathogen	Gatesoupe 1999
Competition for adhesion sites and nutrients	Fuller 1989
Improvement in nutrient digestion	Afrilasari et al. 2017
Stimulation of innate immunity	Kim and Austin 2006
Elevation of phagocytic activity	Butprom et al. 2013
Growth promotion	Falaye et al. 2016
Influence on water quality	Crab et al. 2010
Stress tolerance	Fuller 1989
Interference in quorum sensing	Defoirdt et al. 2004
Antifungal activity	De et al. 2014
Antiviral activity	Sahu et al. 2008
Protection against infection	Gram et al. 1999
Production of extracellular enzymes	Irianto and Austin 2002
Production of vitamins	Balcazar et al. 2006
Production of siderophores	De et al. 2014
Improvement of host reproduction rate	Ghosh et al. 2004
Improvement of haematological profile	Ayoola et al. 2013
Bioremediation	Devaraja et al. 2013

## Molecular identification techniques for probiotics

Molecular tracking of probiotic markers (Fig. 1) were recently been prioritized over traditional approaches to properly validate putative probionts (Papadimitriou et al. 2015). The probiotic may express bile salt-regulatory (e.g., bsh), adhesion (e.g., adh), fatty acid biosynthesis (e.g., fab) or quorum sensing (e.g., luxS) genes, aggregation promoting factors (e.g., Apf), molecular chaperones (e.g., DnaK, GroES) or DNA repair proteins (e.g., uvrB) in order to survive and colonize the host intestine (Defoirdt et al. 2004; Hamon et al. 2014). Tripathy et al. (2014) identified several probiotic markers (bile salt hydrolase, fibronectin binding protein and mucus binding protein) in Lactobacillus plantarum KSBT56 strain.

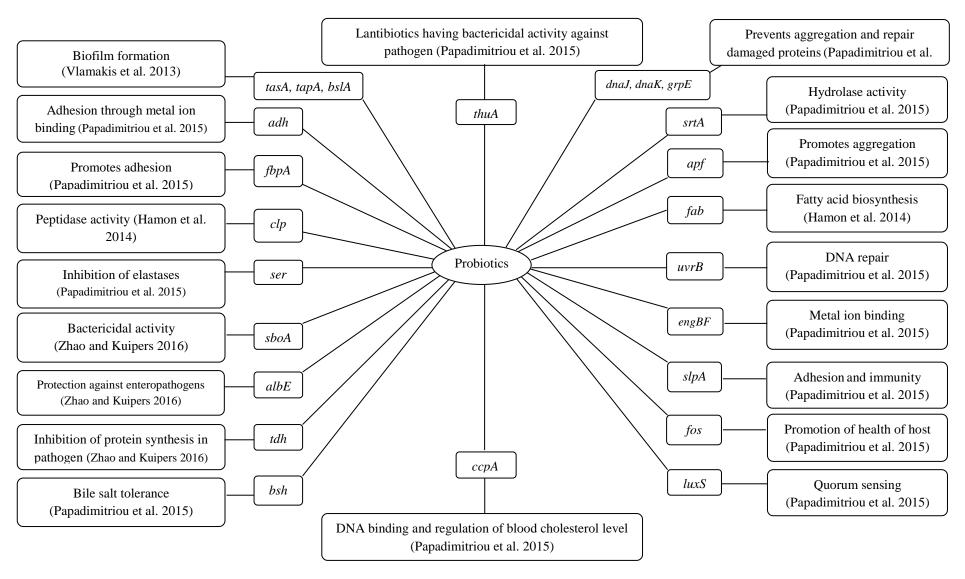


Fig. 1: Genes related to potential probiotic properties.

Probiotics may also contain immune-modulators (e.g., Sublancin 168, slpA) or antimicrobial substances (e.g., albE) (Papadimitriou et al. 2015). Zhao and Kuipers (2016) identified the transmembrane protein colicins (depolarize the cytoplasm membrane of pathogen) in B. thuringiensis, B. cereus and Bacillus sp. BH072 strains. Probiotic isolates (Bacillus cereus, B. mycoides, B. thuringiensis, B. licheniformis, B. amyloliquefaciens, B. halodurans, B. endophyticus, B. paralicheniformis and B. methylotrophicus) may exert class II lanthipeptide that can be identified through the expression of lanM gene (Zhao and Kuipers 2016). The formation of biofilm through the secretion of extracellular matrix proteins is another aspect of probiotics. Majed et al. (2016) revealed the presence of biofilm forming genes (e.g., bslA, tapA) in B. cereus and B. thuringiensis. The further identification of molecular markers including housekeeping genes can enrich the understanding about the probable mode of action of probiotics.

#### Microbial infections in *Clarias* species

C. batrachus is one of the most desired aquatic products owing to its nutritional benefits and economic significance though the production of it remains low as per the major carps are concerned. The pathogenic infections are one of the major causes of productivity constraint in Clarias sp. (Table 4). In aquaculture, prevention and control of aquatic diseases by chemical additives or antibiotics may generate antibiotic-resistant bacteria and thus creates a serious concern to public health (FAO 2006). There are many reports regarding a sharp decrease in productivity due to abrupt use of anti-microbial drugs (Alcaide et al. 2005).

**Table 4:** Common diseases of *Clarias* sp.

Type	Etiological agent	Host	Effect on host	References
	Edwardsiella ictaluri	C. batrachus	Bacterial infection	Kasornchandra et al. 1987
ial	A. hydrophila	C. batrachus	Ulcerative lesions on head, skin.	Llobrera and Gacutan 1987
	A. hydrophila	C. gariepinus	Ulcerative lesions on body surface and kidney	Rahman and Chowdhury 1999
	Flexibacter columnaris	C. gariepinus	Columnaris disease	Ikpi and Offem 2011
	Providencia sp.	C. batrachus	Ulcerative lesions on skin	Thomas et al. 2013
Bacterial	Pseudomonas aeruginosa	C. gariepinus	Immunosuppression, tissue degeneration and necrosis	Magdy et al.2014
	E. ictaluri	Hybrid catfish	Enteric septicaemia	Suanyuk et al. 2014
	Edwardsiella tarda	C. gariepinus	Edwardsiellosis	Abraham et al. 2015
	Aeromonas hydrophila, A. caviae, A. sobria, A. jandaei, A. rivuli, A. aquariorum, A. fluvialis	C. batrachus	Bacterial septicaemia	Paul et al. 2015
Viral	Ictalurid Herpes virus 1	Clarias sp.	CCVD (channel catfish virus diseases).	Boon and Huisman 1996
Fungal	Tricophyton, Rhizopus, Saprolegnia, Mucor, Penicillium, Microsporum and Alternaria	C. gariepinus	Infection in eggs	Melaku et al. 2017
F	Mucor, Aspergillus, Trichophyton, and Penicillium	C. gariepinus	Localized surface infection	Atawodi et al.2017
	Trichodina sp., Epistylis sp. and Gyrodactylus sp.	C. gariepinus	Ectoparasitic Diseases	Abo-Esa 2008
	Trichodina matsu, T. magna, T. maritinkae and T. sangwala	C. gariepinus	Ectoparasitic disease on gill and skin	El-Tantawy and El-Sherbiny 2010
SS	Saprolegnia, Icthyophonus, Candida albicans, Achlya and Aspergillus fumigatus	C. gariepinus	Parasitic infection on skin	Nwabueze 2012
Parasitic diseases	Djombangia penetrans, Lytocestus indicus, L. birmanicus, L. parvulus and Bovienia serialis	C. batrachus	Parasitic infestation	Laboni et al. 2012
itic	Gyrodactylus alekosi sp. and G. rysavyi	C. gariepinus	Parasitic infections	Přikrylová et al. 2012
rasi	Ichthyophthirius multifiliis , Trichodina sp., Epistylis sp.,	C. gariepinus	Ectoparasitic infection	Ghoneim et al. 2015
Pa	Gyrodactylus sp.			
	Procamallanus sp., Polynchobothrium clarias,	C. gariepinus	Endoparasitic disease in intestine	Balogun and Solomon 2015
	Diphyllobothrium latum, D. plerocercoid, Diplostomum spathaceum, Acanthocephalan sp.			
	Dactylogyrus, Gyrodactylus, Trichodina and Chilodinella	C. gariepinus	Ectoparasitic Diseases	Gado et al. 2017

## **Probiotics in** *Clarias* **species**

Earlier studies on C. batrachus were majorly contributed on taxonomic status, distribution pattern, habitat range, physiological features and feeding habits. Jayaram (1981) reported that C. batrachus was the most predominant out of seven species of Clarias found in Indian subcontinent. The pollutant intoxication in C. batrachus through culture pond water was studied by Dhilon and Gupta (1982). The study of enzymatic activities correlated to the dietary habits of C. batrachus was conducted by Mukhopadhyay (1977). The effect of feeding frequency on the growth and distribution of C. batrachus was evaluated by Mollah and Nurullah (1988). The effect of toxicity of sodium arsenite on hematological profile was investigated by Kumar and Banerjee (2016). The breeding pattern of C. batrachus under intensive cultivation was analyzed by Tripathi (1996). Datta Munshi (1961) thoroughly studied the additional respiratory organs of *Clarias batrachus*. Sarma et al. (2012) assessed the growth, biochemical composition and rate of survivability of C. batrachus under severe saline condition. The screening and application of autochthonous probiotic for the cultivation of indigenous *Clarias batrachus* is in major focus worldwide. However, reports on the use of probiotics in C. gariepinus are much available, but the knowledge is still limited so far as C. batrachus (Table 5) are concerned. The necessity of sustainable aquaculture has promoted research on the use of probiotics for the cultivation of *Clarias* species through different approaches:

#### **Improvement in nutrient digestion**

Probiotic isolates often secrete extracellular enzymes (e.g., amylases, proteases, lipases) or growth factors (e.g., vitamins, siderophores, fatty acids, amino acids) which can digest indigestible food components more efficiently and promote fish nutrition (Irianto and

Austin 2002; Balcazar et al. 2006). Al-Dohail et al. (2009) observed enhanced growth, survivability and feed utilization efficiency in C. gariepinus by supplementing the feed with Lactobacillus acidophilus. Banerjee et al. (2015) isolated potential enzymesynthesizing bacterial isolate Bacillus licheniformis from adult C. batrachus. Olayinka and Afolabi (2013) applied *Lactobacillus acidophilus* for the cultivation of *C. gariepinus* and observed improved fish health and haematological parameters. Dev et al. (2016a) isolated B. cereus HG01 (KR809412) strain from adult C. batrachus. The organism was capable of synthesizing extracellular digestive enzymes. The substantial application of viable feedprobiotics can enhance haematological profile and enzymatic activity C. gariepinus (Ayo Olalusi et al. 2014). Falaye et al. (2016) reported enhanced growth, weight gain and FCR in C. gariepinus fingerlings through applying fortified diet infused with L. plantarum. Dey et al. (2016b) isolated autochthonous putative probiotic strain Bacillus aryabhattai KP784311 from the foregut of adult C. batrachus and obtained better growth performance in C. batrachus juvenile by encapsulating the probiotic with chironomid larvae. Bairagi et al. (2002) isolated enzyme (protease, amylase and lipase) producing distinct microflora from the intestinal tract of C. batrachus that may further contribute better feed formulations. El-Haroun (2007) used a commercial strain of Bacillus to obtain better growth performance, protein efficiency ratio, protein productive value and decreased feed conversion ratio in C. gariepinus. The probiotic isolates proliferated in the digestive tract and synthesized amylase, protease and lipase that have resulted increased feed utilization efficiency.

The consortium of probiotics may be more effective and consistent than a single strain due to their synergistic nature (Salinas et al. 2008). Omenwa et al. (2015) showed

the administration of *Lactobacillus plantarum* and *Pseudomonas fluorescens* in *C. gariepinus* fingerlings can endorse fish nutrition, survivability and increased specific growth rate. Ayoola et al. (2013) applied a consortium of *Lactobacillus* sp. and *Bifidobacterium* sp. for 90 days to *C. gariepinus* juveniles and reported enhanced feed efficiency, survivability and nutritional quality than the control fed fish.

## **Improvement of culture water**

Biofloc system is a cost-effective technology to monitor waste removal, decompose undesirable substances, reduce water pollution, and increase productivity in aquaculture ponds (Crab et al. 2010). Hapsari (2016) utilized fermented bioflocs infused with the probiotic *B. cereus* to enhance growth and feed utilization efficiency of *C. gariepinus* juveniles. Putra et al. (2017) also observed increased growth performance and nutrient utilization ability in *C. gariepinus* using *Bacillus* sp. as probiotic supplement through biofloc technology. The application of *Lactobacillus* has improved both the culture condition and growth of *C. gariepinus* (Aderolu et al. 2013).

#### Production of inhibitory substances against pathogen

Probiotic microorganisms may inhibit fish pathogens by producing wide-spectrum of bactericidal or bacteriostatic chemical substances (e.g., siderophores, bacteriocins, enzymes etc.). Ogunshe and Olabode (2009) isolated *Lactobacillus plantarum* LbOGI and *Lactobacillus fermentum* LbFF4 strains from *C. gariepinus*, and observed antibacterial activity against pathogenic *Salmonella*, *Klebsiella*, *E. coli*, *Citrobacter*, *Proteus* and *Pseudomonas*. Strains of *Lactococcus* and *Lactobacillus*, isolated from the surface of *C. gariepinus* executed significant antimicrobial activity against aquaculture pathogens (Kato et al. 2016).

# **Stimulation of immunity**

Probiotics often exert signaling molecules to stimulate humoral or cellular immune response against pathogenic invasion (De et al. 2014). Aquaculture pathogen *Vibrio harveyi*, *V. anguillarum* or *Aeromonas hydrophila* cause significant mortality in *C. batrachus* worldwide (Afrilasari et al. 2017). Dahiya et al. (2012a) applied probiotic *Lactobacillus sporogenes* and *Saccharomyces boulardii* to *C. batrachus* fingerlings against pathogenic *Aeromonas hydrophila* and *Micrococcus sp.* and observed increased level of immunity and haematological profile in cat fish. Butprom et al. (2013) also have reported enhanced immune response, disease resistance ability and survivability in hybrid catfish against *A. hydrophila* infection by supplementing the feed with *Lactobacillus plantarum* C014. Nwanna and Tope-Jegede (2016) evaluated the effects of probiotic *Lactobacillus plantarum* on the immune response of *C. gariepinus* by challenging with pathogenic *Salmonella typhi*. The result indicated that fish fed on probiotic supplemented feed (10³-10⁵ cfu/g) significantly enhanced the carcass protein, blood profile and immunity in experimental fish.

#### **Competition for adhesion sites and nutrients**

Probiotic microorganisms confer protection against pathogens by limiting nutritional resources through the process of competitive exclusion by adsorbing and colonizing the intestinal tract of the host (Fuller 1989). Afrilasari et al. (2017) evaluated the effects of *Bacillus megaterium* PTB 1.4 on the growth performance, gastrointestinal microflora and enzymatic activity of *Clarias sp.* and obtained significantly higher (p<0.05) specific growth rate and enzymatic activity. Yakubu et al. (2016) used commercial probiotic strain as a feed supplement for *C. gariepinus* and reported increased growth and survivability.

Administration of viable probiotics resulted better growth and carcass composition in *C. batrachus* fingerlings (Jahan et al. 2016).

**Table 5:** Application of probiotics in *Clarias sp.* 

Probiotic	Host	Effect on host	References
Lactobacillus	Clarias orientalis (Catfish)	Enhanced growth and survival rate; inhibited <i>Aeromonas</i> and <i>Vibrio</i> sp.	Dhanasekaran et al. 2008
Lactobacillus acidophilus	Clarias gariepinus (African catfish)	Enhanced haematological profile, stimulated immunity, inhibited <i>Staphylococcus xylosus</i> , <i>Aeromonas hydrophila</i> gr.2 and <i>Streptococcus agalactiae</i> infection	Al-Dohail et al. 2011
Saccharomyces cerevisiae	Clarias gariepinus hybrid $(MCF ? \times QCF ?)$	Enhanced production and growth rate	Essa et al. 2011
Bacillus thuringiensis	Clarias gariepinus	Enhanced non-specific immune response against <i>A. hydrophila</i> infection	Reneshwary et al. 2011
Lactobacillus sporogenes, Saccharomyces boulardii Nitromonas, Azospirillum,	Clarias batrachus (Asian catfish) Clarias batrachus	Decreased A. hydrophila infection  Developed haematological	Dahiya et al. 2012b Dahiya et al.
Rhodococcus, Pseudomonas, Bacillus licheniformis, Bacillus megaterium, Desulfovibrio desulfuricans, Chromatium, Chlorobium, Thiobacillus thioxidans, Thiobacillus ferrooxidans, Methylomonas methanica, Gluconacetobacter, Trichoderma, Schizophyllum commune and Sclerotium		profile, reduced Aeromonas hydrophila infection	2012c
Lactobacillus acidophilus	C. gariepinus	Increased SGR, RGR, PER, FCR; developed haematological profile and survivability.	Ige 2013
Lactobacillus acidophilus, Bacillus subtilis and Lactobacillus bulgaricus	Clarias gariepinus	Improved growth and survivability	Dennis and Uchenna 2016
Lactobacillus plantarum	C. gariepinus	Enhanced carcass protein and mineral composition; increased haematological profile.	Nwanna and Tope-Jegede 2016
Bacillus aryabhattai, B. cereus and B. flexus	C. batrachus	Increased specific growth rate and survivability	Dey et al. 2017

#### **Interference in quorum sensing**

Quorum sensing is a bacterial communication system that leads to the regulation of gene expression in response to the density of signaling molecule (De Almeida et al. 2016). Probiotic microorganisms may inhibit pathogenic infections and reduce biofilm formation through the process of quorum quenching (Defoirdt et al. 2004). Novita et al. (2015) applied probiotic isolates *Lysinibacillus sphaericus*, *Bacillus cereus* and *Bacillus amyloliquefaciens* to disrupt the quorum sensing molecule (N-acylhomoserine lactones) of pathogenic *A. hydrophila* and drastically reduced chronic motile *Aeromonas* septicaemia infection in *C. gariepinus*.

## **Selection of probiotic**

A probiotic strain must pass through certain *in vitro*, *in vivo* and *in silico* analysis before commercialization. The growth pattern, colonizing ability, susceptibility, bio-safety, mode of action and specificity are some most essential determinants. A pilot-scale study can be performed to assess the survivability, efficiency and dose-optimization of candidate probiotic in the host environment. Molecular tracking of marker genes can further validate its authenticity. In this regard, a protocol to screen putative probiotic strain for the cultivation of *C. batrachus* can be proposed (Fig. 2).

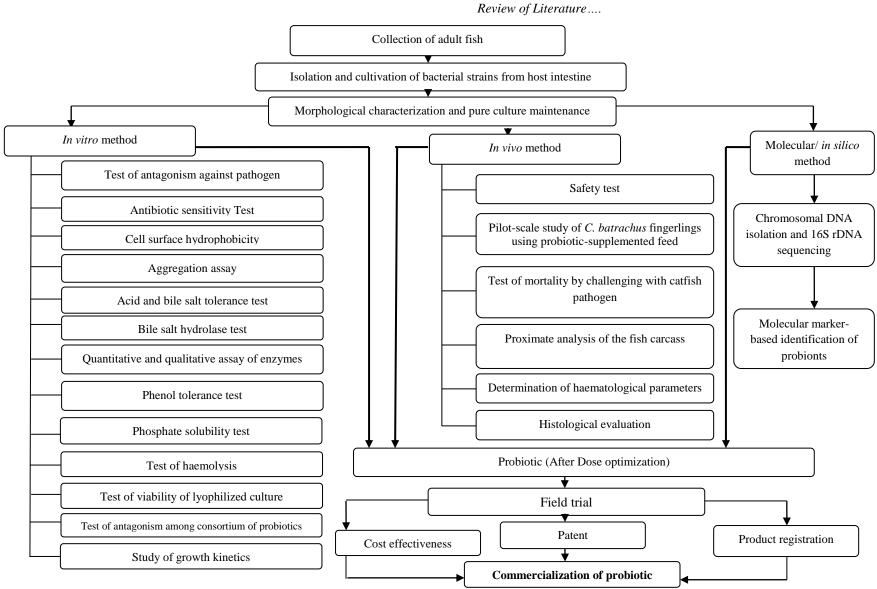


Fig. 2: Diagram for screening of autochthonous probiotic strain for *C. batrachus*.