Chapter 3

Self-assembly study of Stigmasterol in organic liquids



3.1. Introduction

Terpenoids including steroids are the major components of plant secondary metabolites. Steroids, like triterpenoids, are biosynthesized from oxidosqualene via a series of cation-olefin cyclizations and rearrangements.¹ Demethylations along with methyl migration and insertion produce steroids of diverse structures containing usually 24-29 carbons.² A complex mixture of various sterols is usually synthesized in higher plants. These are commonly referred to as phytosterols having β -sitosterol, campesterol and stigmasterol as the major component.³ With its unique rigid 6-6-6-5 structural feature, sterols serve as indispensible component in cell membranes especially in higher plants and animals. Renewable nature of the plant metabolites has made them highly significant in diversified areas of research in chemistry, biology and materials science because, their utilizations in science and technology will aid in the development of a sustainable society.^{4,5,6} Hierarchical self-assembly yielding supramolecular gels have created a great impact in research in advanced functional materials over the last two decades.^{7,8,9,10,11,12,13,14} Such supramolecular gels have found applications in several fields^{15,16,17,18} such as sensor devices,¹⁹ thermo-chromic materials,²⁰ liquid crystals,²¹ chemical catalysis,²² electrically conductive scaffolds,^{23,24} templates for cell growth and inorganic structures,²⁵ as well as in cosmetic and food industries.⁷ Chemical gels^{26,27,28} include both synthetic polymeric gels as wells as biopolymers which are based on covalent bonds and may involve cross-links. On the other hand, supramolecular physical gels^{29,30,31,32,33} are typically made of low molecular weight compounds self-assembled via non-covalent interactions such as hydrogen-bonding, van der Waals, dipole-dipole, charge-transfer,

aromatic–aromatic and coordination interactions. As a result, reversible gelto-sol phase transitions occur in response to external stimuli such as heat, pH, ultra-sonication, etc.^{34,35} Self-assembly of various types of amphiphiles such as proteins and peptides,^{36,37,38} sugars,^{39,40} fatty acids,^{41,42,43} sophorolipids,^{44,45} steroids,^{46,47} etc. have been reported. Since the first report of the spontaneous self-assembly of a natural terpenoid betulinic acid yielding gels via the formation of fibrillar networks, self-assembly of several natural products have been reported,⁴⁸ even without functional transformation, during the last decade.^{49,50} Stigmasterol, a natural 6-6-6-5 tetracyclic phytosterol, is present



Figure 1: Schematic representation of self-assembly of stigmasterol **1** in organic liquids forming supramolecular gel yielding fibrillar network

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plenty in higher plants. While investigating the chemical constituents of

Astavarga plants,⁵¹ we reported the first isolation of stigmasterol in the leaves of Astavarga plant Roscoea purpurea, commonly known as Kakoli.52 Tremendous pharmacological effects like anti-osteoarthritic, hypoglycemic, activity⁵³ antioxidant, anti-mutagenic, anti-inflammatory including antigenotoxicity and anticancer activity⁵⁴ of stigmasterol have been reported. Just like terpenoids, stigmasterol is also a nano-sized molecule (1. 73 nm) having both polar and non-polar regions (Figure 1, 2, 14, 16). The structural characteristics of stigmasterol, having one polar hydroxy group at one end and a large nonpolar lipophilic steroidal structure containing almost planar and rigid 6-6-6-5 skeleton and a flexible C10 branched chain, makes it an interesting amphiphile for the study of its self-assembly properties in different liquids (Figure 1). Herein, we report the first self-assembly property of stigmasterol in different liquids yielding supramolecular gels via fibers of nano- to micro-meter dimensions.55 The morphology of the supramolecular gels of stigmasterol were characterized using several microscopic techniques like optical microscopy (OPM), scanning electron microscopy (SEM), transmission electron microscopy (TEM), atomic force microscopy (AFM). Based on molecular modeling studies, X-ray diffraction data and FTIR studies, a model for the self-assembly of stigmasterol has also been proposed. Rheology studies indicated that the gels were of high mechanical strength. The gels were loaded with both cationic as well as anionic fluorophores including an anticancer drug. Release of the fluorophores from the loaded gels into aqueous medium was also demonstrated spectrophotometrically.



Figure 2: Energy minimized structure of stigmasterol **1** obtained by (a) DFT calculation using Gaussian 09 software, the molecular length is 1.73 nm and (b) MMX force field as implemented in PC MODEL version 9.2 (Serena Software), the molecular length is 1.73 nm.

3.2. Results and discussion

3.2.1 Extraction, Purification & Isolation of Stigmasterol

Even though isolation of stigmasterol has previously been reported from several plants,^{56,57} first isolation of stigmasterol from the indian medicinal plant *Roscoea purpurea* has been reported by us recently.⁵² The molecule constitute a rigid tetracyclic backbone (6-6-6-5) with one secondary hydroxyl

group in one end and one C10 branched hydrocarbon chain in the other end of the molecule. Energy minimized structure revealed that the molecule is 1.73 nm long (Figure 2) having an amphiphilic structure with a large lipophilic surface and a polar OH head group.

3.2.2 Study of self-assembly properties

Self-assembly studies of stigmasterol were carried out in both polar as well as non-polar organic liquids. Typically for such studies, a certain amount of stigmasterol (usually 3-5 mg) was dissolved in the liquid contained in a vial under hot condition with magnetic stirring. Then the solution was kept at room temperature (25 °C) and observed visually. When the material did not flow by turning the vial upside down, we called it a gel. Self-assembly of stigmasterol was carried out in 13 organic liquids. Among the 13 neat organic liquids tested, stigmasterol self-assembled in all the liquids in the concentration range of 1-6% w/v (17-68 mM) forming opaque gels in 10 neat liquids such as dimethyl sulfoxide (DMSO), nitro benzene, cyclohexane, n-hexane and nheptane etc. (Table 1). In non-polar liquids such as n-hexane, n-heptane and cyclohexane, gels were formed almost instantaneously (within 1 - 5 min). In the other liquids, gels were obtained in 30 min to 1 h. The MGC values for the gels obtained in different liquids were in the the concentration ranges of 17-68 mM. Stigmasterol was found to be the best gelator for n-hexane and nheptane with 17 mM being the MGC values for both the liquids. Viscous suspensions were obtained in o-dichlorobenzene,

Entry	Solvent	State ^a	MGC	$T_{gel}(^{\circ}\mathrm{C})^{\mathrm{b}}$
1	Nitrobenzene	G	42.40	30.3
2	o-dichlorobenzene	G	56.53	29.9
3	o-xylene	G	67.84	34.5
4	m-xylene	VS	-	-
5	p-xylene	VS	-	-
6	Cyclohexane	G	37.69	32.3
7	n-hexane	G	17.00	31.5
8	n-heptane	G	17.00	31.1
9	n-octane	VS	-	-
10	DMF	G	42.40	30.3
11	DMSO	G	21.20	32.1
12	Ethanol	G	42.40	34.2
13	Methanol	G	24.23	33.1
14	Water	Ι	-	-

Table 1. Self-assembly studies of stigmasterol

^a G = gel, VS = viscous suspension, I = Insoluble, minimum gelator concentration MGC are given in mM unit. ^b T_{gel} = gel to sol transition temperatures.

o-xylene and p-xylene. All the gels were thermally reversible with their sol phases. The thermo-reversibility of the gels was confirmed by repeatedly heating the gel to melt and then allowing resulting solution to cool to regenerate the gel. This thermo-reversibility of the gels allowed us to plot the gel to sol transition temperature T_{gel} vs % of gelator concentration. Increase in the concentration of the gelator, the T_{gel} values increased which indicated the

stronger intermolecular interactions at higher concentrations. The T_{gel} value for n-hexane and n-heptane gels at its MGC (17 mM) was 31.5 and 31.1°C and increased to 44.5 and 41.2°C respectively at 42.40 mM concentration (Figure 2). Similarly, the T_{gel} value of the DMSO gel at MGC (21.20 mM) was 32.1°C and increased to 45.5°C (Figure 3d and Figure 4) at 42.40 mM concentration. The various thermodynamic parameters (ΔH° , ΔS° and ΔG°) at 298 K were calculated from the plot of lnK vs $1/T_{gel}$ (Figure 4). The free energy changes (Table 2) observed during gel to sol transformations in all the cases were in the range of (+) 9.32 – (+) 9.73 kJ mol⁻¹ which is indicative of stability of the gels. It is also worth noting that even though the ΔH° values for the three liquids were different, the ΔG° values were very close due to the compensation by the ΔS° values.



Figure 3: A gel of stigmasterol in (a) DMSO (b) n-hexane and (c) n-heptane; (d) Plots of T_{gel} versus concentration for 1 in DMSO (- \blacktriangle -); n-hexane (- \bullet -); n-heptane(- \blacksquare -).

Calculation the thermodynamic parameter

The thermoreversible melting of a gel can be expressed as:

Gel \leftrightarrow liquid

The equilibrium constant can be expressed as:

K = [Gelator]/[Gel]

Assuming unit activity of the gel, the equilibrium constant can be expressed as:

$$K = [Gelator]$$

The Gibbs free energy change during gel melting can be expressed as:

 $\Delta \mathbf{G}^0 = -\mathbf{RT} \, \mathbf{lnK} = \Delta \mathbf{H}^0 - \mathbf{T} \Delta \mathbf{S}^0,$

Hence, $\ln K = -\Delta H^0/R$. $(1/T) + \Delta S^0/R$

Thermodynamic parameters (ΔH^0 , ΔS^0) and free energy (ΔG^0) at 298 0K for

different Stigmasterol gels.



Figure 4: ln K vs 1/T (K) plot of stigmasterol in (a) DMSO; (b) n-heptane and (c) n-hexane

Liquid	$\Delta H^o/\text{kJ mol}^{-1}$	$\Delta S^{o}/J \text{ mol}^{-1} \text{ K}^{-1}$	$\Delta G^o/\text{kJ mol}^{-1}$
n-hexane	24.01	49.33	9.317
n-heptane	31.30	73.24	9.473
DMSO	28.73	63.79	9.730

Table 2. Thermodynamic parameters (ΔH° , ΔS° , ΔG°) for gel to sol transition of gels of stigmasterol **1** in different liquids at 298 K



Figure 5: Polarizing Optical microscopy images of **1** in (a) and (b) m-xylene (67.87 mM)(c) and (d) o-xylene(67.87 mM)

3.2.3 Morphological Characteristics of the self-assemblies

Morphology of the self-assemblies were studied by polarized optical microscope (POM), atomic force microscopy (AFM), scanning electron microscopy (SEM) and FTIR studies.



Figure 6: Optical microscopy images of **1** in (a) and (b) n-hexane (17 mM) (c) n-heptane(17 mM) and (d) cyclohexane (37.69 mM).

3.2.3.1 Optical Microscopic Images:

The morphology of the gels were studied by optical microscopy. The OPM images showed different microstructures of the gels in different liquids. Sheet like structures with optical birefringence of 2-3 micrometre crosssections and up to 100 micrometre lengths were observed in a gel of stigmasterol in nitrobenzene, o-xylene, m-xylene and DMSO under polarized light (Figure 5). Fibrillar networks having nano to micrometre lengths were observed in a gel of stigmasterol in cyclohexane, n-hexane and n-heptane (Figure 6).



Figure 7: (a–d) Scanning electron micrographs of the dried selfassemblies of Stigmasterol prepared from dilute solution in n-heptane (25.92 mM).

3.2.3.2 Scanning Electron Microscopic studies:

The self-assemblies of **1** prepared from the colloidal suspensions in cyclohexane and n- heptane were studied by scanning electron microscopy. Densely packed and entangled fibrillar networks were observed (Figure 7). Belt-like self-assemblies were also obtained in o-dichloro benzene (26.65 mM) (Figure 8). Bundles of fibers with diameters 0.407 -2.21 μ m were observed in cyclohexane. As the molecule is 1.73 nm long (Figure 1 and 2), several molecules are present in the cross-section of the fibers. Although the flibrillar network of micrometer diameter was obtained in all the dried self-

assemblies studied, there shapes were not identical probably due to sensitivity of the solute towards the liquid. Fibrillar self-assemblies from different type of surfactant and peptide have been reported.^{58,59} But such fibrillar selfassemblies from naturally occurring phytosterols are rare.



Figure 8: Scanning electron micrographs of the dried self-assemblies of stigmasterol prepared from dilute solution in (a–b) nitrobenzene (1.07 % w/v), (c-d) in n-hexane (1.10% w/v).

3.2.3.3 HRTEM Studies

HRTEM studies carried out with the dried self-assemblies of **1** prepared from the colloidal suspensions in n-heptane (2.5 mM) indicated the formation of belt-like networks having nano- to micro-meter cross-sections and micrometer lengths (Figure 9). All these observations support the results obtained by SEM and optical microscopy studies (discussed earlier).



Figure 9: HRTEM (unstained) of self-assembled stigmasterol in n-heptane (2.5 mM).

3.2.3.4 Atomic force Microscopic Images

Atomic force microscopy of a dried sample prepared from the solution of 1 (6.075 mM) in n-hexane and n- heptanes indicated the formation of self-assemblies of 400 nm to 900 nm cross-sections and 3-6 micrometre lengths (Figure 10). All these observation supports the results obtained by TEM, SEM and optical microscopy studies (discussed earlier).



Figure 10: AFM images (a and c) 2D, (b and d) 3D of the self-assemblies of Stigmasterol in (a -b) n-heptane (6.057 mM) (c and d) cyclohexane (6.06 mM).

3.2.3.5 Rheology study of gel

For studying the mechanical properties of gel, rheology experiment was carried out using CP 25 cone plate at 25 °C (Figure 11). The storage modulus (G') and loss modulus (G") were measured in amplitude sweep experiment (Figure 11). For a gel of stigmasterol in DMSO (49 mM), the storage modulus G' and the loss modulus G" moved parallel during a long range until a cross over point was reached. The storage modulus (G') for the gel is of the order of 5 X 10^3 Pa indicating its high mechanical strength.⁶⁹



Figure 11: Rheology of gel of 1 in DMSO (49 mM).

3.2.3.6 FTIR, X-ray diffraction and molecular modelling studies

Infrared spectra of self-assembled stigmasterol were performed in different liquids in their gel state and the change in the 'O-H' stretching vibration were compared with that in the dried powder sample of the compound. An overlay of the FTIR spectra clearly indicated the shifts of the 'O-H' stretching frequency in the gels in cyclohexane, n-hexane and nitrobenzene (Figure 12). For example, the stretching frequency of the 'O-H' group in the neat powder appeared at 3437 cm^{-1} , where as the stretching frequency of 'O-H' of the self-assemblies prepared from cyclohexane, n-hexane, and nitrobenzene appeared at 3342 cm^{-1} , 3343 cm^{-1} and 3345 cm^{-1} respectively. It was clearly observed that the stretching frequency of 'O-H' in cyclohexane, n-hexane and nitrobenzene shifted to the lower frequency (red shift) because of the weakening of the -O-H bond. Broadening of the -O-H stretching bands is due to the formation of hydrogen bonding.^{60,61} The shifting in the -O-H stretching frequencies clearly indicated that the self-assembly is driven by the intermolecular H-bonding among the molecules.



Figure 12: FTIR spectra of stigmasterol (powder) and its gels in cyclohexane, n-hexane and nitrobemzene.

To throw light on the mode of self-assembly of stigmasterol molecules, low angle X-ray powder diffraction (XRD) experiments and molecular modelling studies using Pcmodel 9.2 and GaussView 5.0 software were carried out. The powder diffraction peaks were compared with the simulated peaks obtained from the reported crystal structure of stigmasterol hemihydrate.^{62,63} The X-ray diffraction spectra of xerogels of stigmasterol in cyclohexane (Figure 13) show diffraction peaks with a d-spacing of 34.77 Å. Interestingly, the optimized length of hydrogen bonded dimeric stigmasterol is 34.77Å (Figure 14), that exactly matches with the above d-spacing data. This supports the presence of H-bond in the self-assemblies.^{64,65}



Figure 13: X-Ray diffractogram have been recorded at room temperature (25 ° C) using Cu-K α filament ($\lambda = 1.54$ Å). (a) Neat powder of 1 in n-hexane.

The computer generated X-ray powder diffraction peaks obtained from the X-ray crystal structure (Figure 15) did not match with the diffraction peaks obtained for the xero-gel. This indicates that morphs of stigmasterol in the crystal structure in the xero-gel were not identical.



Figure 14: Energy-minimized structure of stigmasterol: The length of the molecule is 1.73 nm. Two molecules are formed dimeric structure by H-bonding and the length of the dimer is 3.47 nm



Figure 15: The computer generated X-ray powder diffraction peaks obtained from the X-ray crystal structure. (Mercury 4.2.0 (Build 257471); http://www.ccdc.cam.ac.uk/mercury)

Both energy minimized structure and the crystal structure of stigmasterol supports the planar structure. Based on the energy minimized H-bonded dimeric structure of stigmasterol and the crystal structure, various modes of assembly of the steroids has been proposed (Figure 16 -21). The α and β faces of the steroid are not identical. This non-identical nature of the surfaces gives rise to three distinct modes of parallel stacked arrangements such as $\alpha\alpha$, $\alpha\beta$, $\beta\beta$. Thorough inspection of the crystal structure of stigmasterol revealed distinct modes of parallel stacked arrangements two namely $\alpha \alpha$, $\beta \beta$. Assuming that identical face to face parallel stacked arrangements are also present in the self-assembled stigmaserol, two modes of face to face dimeric structures I and II (Figure 16, 17 and 18) are proposed.



Figure 16: Schematic representation of various modes of self-assembly of stigmasterol. The OH groups can take part in H-bonding and the lipophilic surface of steroid can take part in van der Waals interaction. The α and β face of the steroid leads to two types of assembly formation of the type I - V.

The H-bonded dimeric structure III (Figure 16 and 19) can be extended to form 1D fibrillar network, bilayer assembly and 2D sheet structure (IV and V in Figure 16, 20 and 21). Analysis of crystal packing of stigmasterol reveals that both in $\alpha\alpha$ and $\beta\beta$ face-to-face stacked arrangements, the steroid surfaces are within van der Waals contact distance supporting the role of van der Waals interaction in the self-assemblies.⁶⁶



Figure 17: Schematic representation of two interacting stigmasterol molecules within van der Waals contact having steroid α -face facing each other (0.5H₂O present as solvent of crystallization is not shown for clarity)



Figure 18: Schematic representation of two interacting stigmasterol molecules within van der Waals contact having steroid β -face facing each other (0.5H₂O present as solvent of crystallization is not shown for clarity).



Figure 19: Schematic representation of two interacting stigmasterol molecules within H-bonding (0.5H₂O present as solvent of crystallization is not shown for clarity)



Figure 20: Schematic representation of interacting stigmasterol molecules forming 1D, 2D and 3D architecture (a) within van der Waals contact (b) with OH participating in H-bonding (0.5H₂O present as solvent of crystallization is not shown for clarity)



Figure 21: Schematic representation of interacting stigmasterol molecules forming 1D, 2D and 3D architecture within van der Waals contact and OH participating in H-bonding (0.5H₂O present as solvent of crystallization is not shown for clarity)

3.3. Utilization of gel in entrapment and subsequent release of fluorophores including anticancer drug

Fibrillar self-assemblies of the solute molecules present inside the supramolecular gel posses a high surface area.^{67,68} Morever, the gels posses a porous microstructure filled with the liquid.^{69,50} Whether the supramolecular gels of **1** obtained in different liquids are capable of entrapping guest molecules inside, we examined the entrapment of the cationic fluorophore rhodamine B (Rho-B) and an anionic fluorophore 5,6 carboxy-fluorescein (CF). Interestingly, when gelation studies of 1 in different liquids were carried out in the presence of the fluorophores as guests, formation of coloured gels were observed (Figure 22 II and V). For example, when a hot solution of stigmasterol in DMSO (225 µL, 40 mM) was mixed with a solution of Rho-B in DMSO (75 µL, 6 mM) and the mixture was heated to obtain a colored solution and the resulting mixture was allowed to cool at room temperature, the Rho-B entrapped reddish gel was formed instantly (Figure 22 II). In an identical method, CF loaded yellowish gel was also obtained in DMSO (Figure 22 V). Intense fluorescence of the fluorophore loaded gels were obtained in both the cases when observed under 366 nm UV light (Figure 22 III and VI) confirming the loading of the fluorophores inside the gel network.

To find out whether the gel-entrapped fluorophores can be released, we carried out the release studies with the Rho-B and CF loaded gels into water. Rho-B (1.5 mM) loaded gel of stigmasterol in DMSO (300 μ L, 40 mM) contained in a vial was kept in equilibrium with water (900 μ L). The release of Rho-B was monitored by UV-visible spectroscopy after collecting the aliquots carefully from the top of the vial at various time intervals. The aliquots were returned back to the vial carefully after each absorption measurement. Significant release of Rho-B (92%) from the

DMSO gel into aqueous medium was observed after 4h (Fig. 11 VII). To investigate whether the anionic fluorophore CF can also be released from the CF loaded gel, we carried out the release study of CF into water following the above method. A significant release of CF (79 %) from the CF loaded DMSO gel into the aqueous medium was observed after 2h (Figure 22 VIII). A plot of the percentage release of fluorophore vs time indicated that initially the rate of release were very fast and then it reached saturation after approximately 2 h of equilibration with water (Figure 22 inset in VII and VIII). Assuming a non-steady state diffusion model for the release of fluorophore,⁶⁹ the diffusion coefficients for Rho-B and CF were calculated to be 2.88 X 10^{-10} m² s⁻¹ and 4.25 X 10^{-10} m² s⁻¹ respectively. These values were comparable to the values reported by others.

3.3.1. Release of the anticancer drug doxorubicin

The success in the entrapment and release experiments of cationic and anionic fluorophores inspired us to examine the entrapment and release of the anticancer drug doxorubicin.⁷⁰ When a doxorubicin (2 mM) loaded gel of **1** (40 mM) in DMSO (300 μ L) was kept in equilibrium with water and the release of the drug molecule was monitored by UV-visible spectroscopy at various time intervals, 77% release of the entrapped doxorubicin was observed (Fig. 11 IX) in 2 h making it useful for potential drug delivery applications. A plot of the percentage release of doxorubicin vs time indicated a very fast release in the beginning and then it reached saturation after approximately 90 min (inset in Figure 22 IX). The diffusion coefficient for doxorubicin was calculated to be 4.04 X 10⁻¹⁰ m²s^{-1.69}



Figure 22: Inverted vials containing gels of **1** in DMSO (I and VI). Rho-B loaded gel of **1** in DMSO (II under normal light and III under 366 nm UV light). CF loaded gel of **1** in DMSO (V under normal light and VI under 366 nm UV light). Release of the fluorophores Rho-B (VII), CF (VIII) and the anticancer drug Doxorubicin (IX) from Rho-B (1.5 mM), CF (1.5 mM) and Doxorubicin (2.0 mM) loaded gels of stigmasterol (40 mM) in DMSO (300 μ L) respectively into aqueous media (900 μ L): overlay of the UV-visible spectra of released Rho-B (VII), CF (VIII) and DOX (IX) into aqueous media at various time intervals. Inset in VII, VIII and IX are the plots of % of release of fluorophore/drug vs time for the respective experiments.

3.4. Conclusions

Self-assembly of stigmasterol isolated from the medicinal plant *Roscoea purpurea* in liquids has been reported. According to our knowledge, this is the first report of self-assembly of stigmasterol in liquids. The molecule self-assembled in all the organic liquids yielding nano-to micrometer diameter fibers and belt like architecture. Characterization of the self-assemblies were carried out by optical, electron and atomic force microscopic techniques and X-ray diffraction studies. Thermoreversible supramolecular gels were formed in most of the liquids studied. The supramolecular gels could entrap fluorophores such as rhodamine B, carboxy fluorescein including the anticancer drug doxorubicin. Additionally, release of the loaded fluorophores including the anticancer drug from the gel into aqueous medium was also demonstrated. Biosynthetically sterols being of triterpenoid origin, stigmasterol joins the larger family of terpenoid based natural products yielding self-assemblies and gels in liquids.

3.5. Materials.

Stigmasterol was isolated from the dried and powdered leaves of Kakoli (*Roscea purpuria*) in 0.14% yield as a white solid.⁵²

3.5.2 Preparation of self-assemblies/gel

Compound 1 (1–5 mg) of contained in a vial (1 cm id) was heated with a liquid with continuous magnetic stirring over a hot plate until a clear solution was obtained. The solution was then allowed to cool at room temperature (24–25 °C) and observed. When the material did not flow as observed by turning the vial upside down, we called it a gel. The morphology of the samples were observed initially by optical microscopy and then by the techniques described before.

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